

Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US)

Prepared from
FORM PTO-1390

10/588417

Attorney's Docket No. : G25-085US Nat
U.S. Application No. : Not Yet Assigned
U.S. Application Filed : Herewith
International Application No. : PCT/US2005/005398
International Filing Date : 18 February 2005 (18.02.05)
Priority Dates Claimed : 18 February 2004 (18.02.04)
Title of Invention : Novel Teleost Derived Antimicrobial Polypeptides
Applicants for (DO/EO/US) : EVANS, Donald L.; KAUR, Harjeet; JASO-FRIEDMANN, Liliana; LEARY, John H., III; PRAVEEN, Kesavannair

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 - a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau);
 - b) ☐ has been transmitted by the International Bureau;
 - c) ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English [35 U.S.C.371(c)(2)].
7. ☐ Cancel in this application original claims 10-13, 15-33 and 35-53 of the prior Application before calculating the filing fee. After canceling said claims, claims 1-9, 14 and 34 remain pending in the present application.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C.371(c)(3)].
9. ☐ An oath or declaration of the inventor(s) [35 U.S.C.371(c)(4)].
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C.371(c)(5)].

EXPRESS MAIL NO.: EV 757367935 US

Deposited: August 4, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450."

Harold Hull

Harold Hull

August 4, 2006

Date

10/588417
IAP11 Rec'd PCT/PTO 04 AUG 2006

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Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
 12. ☐ An Assignment document for recording. (A separate Cover Sheet in compliance with 37 CFR 3.28 and 3.31 is included.)
 13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ (other items or information) Application Data Sheet, Published Int'l Application No. WO 2005/079523 A2, Form PCT/IB/308.

17. ☒ The following fees are submitted:

						CALCU- LATIONS	PTO USE ONLY
BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:							
<input checked="" type="checkbox"/>	Basic National Stage Fee			\$	300.00	\$300.00	
<input checked="" type="checkbox"/>	National Stage Search Fee—U.S. was the ISA			\$	100.00	\$100.00	
<input type="checkbox"/>	National Stage Examination Fee			\$	200.00	\$200.00	
ENTER APPROPRIATE BASIC FEE AMOUNT						\$600.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <u> 20 </u> / <input checked="" type="checkbox"/> 30 months from the earliest claimed date [37 CFR 1.492(e)]						\$130.00	
Claims	Number filed	Number extra	Rate				
Total Claims	31	-20 = 11	x \$ 50. =			\$ 550.00	
Indep. Claims	1	- 3 = 0	x \$ 200. =				
Multiple Dependent Claim(s) (if applicable) + \$ 360. =						\$ 360.00	
TOTAL OF ABOVE CALCULATIONS =						\$1640.00	
Reduction by ½ for filing by small entity , if applicable. Verified Small Entity Statement must be filed, if required. [Note 37 CFR 1.9, 1.27, 1.28]						- \$ 820.00	
SUBTOTAL =						\$ 820.00	
Processing fee of \$130.00 for furnishing the English Translation later than <u> 20 </u> / <u> 30 </u> months from the earliest claimed priority date [37 CFR 1.492(f)]						+ \$	
TOTAL NATIONAL FEE =						\$ 820.00	
Fee for recording the enclosed assignment [37 CFR 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet [37 CFR 3.28, 3.31]. \$40.00 per property						+ \$	
TOTAL FEE (ENCLOSED) =						\$ 820.00	
(AMOUNT TO BE REFUNDED OR CHARGED)						REFUNDED	\$
						CHARGED	\$

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- a) ☒ A check in the amount of \$820.00 to cover the above fees is enclosed.
b) ☐ Please charge my Deposit Account No. 04-0838 in the amount of \$
to cover the above fees. A duplicate copy of this sheet is enclosed.
c) ☒ The Commissioner is hereby authorized to charge any additional fees which
may be required, or credit any overpayment to Deposit Account No. 04-0838.
A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Henry D. Coleman
Coleman Sudol Sapone, P.C.
714 Colorado Avenue
Bridgeport, CT 06605-1601
Tel. (203) 366-3560

Henry D. Coleman
Name


Signature

32,559
Reg. No.

August 3, 2006
Date